IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Daley, et al.

Serial No.:

10/766,965

Filing Date:

January 29, 2004

Art Unit:

3624

Confirmation No.:

4387

Examiner:

Unknown

Title:

SYSTEM AND METHOD FOR AVOIDING TRANSACTION

COSTS ASSOCIATED WITH TRADING ORDERS

Mail Stop Amendment

Commissioner of Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. The present application was filed after June 30, 2003. Therefore, under the July 11, 2003 waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of the U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. A copy of the International Search Report is enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to patentability, or that these references qualify as prior art.

The items contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

REMARKS

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe this Information Disclosure Statement has been filed before the **issuance/mailing** date of the first Office Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P. Attorneys for Applicants

Samir A. Bhavsar Reg. No. 41,617

Date: May 17, 2007

Correspondence Address:

Customer No. 05073

P	ΓO-1	449		Application No. Applicant(s)					
Information Disclosure Citation In an Application				10/766,965	Thomas J. Daley, et al.				
				Docket Number 069547.0175	Group Art Uni 3624		Filing Date January 29,	ing Date nuary 29, 2004	
		,,	U	S. PATENT DOCUM	ENTS	L			
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLAS	S FILING	FILING DATE	
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	В	2004/0143538 A1	07/22/2004	Korhammer, et al.	705	37	01/2	01/21/2003	
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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLAS	S TRANS	TRANSLATION	
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		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)						DATE	
	N	Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration for International Application No. PCT/US06/30638; 8 pages.						04/20/2007	
	O P								
EXAMINER				DATE CO	DATE CONSIDERED				
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